

PRODUCER NAME \_\_\_\_\_

PRODUCER NUMBER \_\_\_\_\_

**NATIONAL LIABILITY & FIRE INSURANCE COMPANY**



**PREMIUM INDICATION REQUEST**

**Firm Information**

FIRM NAME \_\_\_\_\_ CONTACT NAME \_\_\_\_\_

SUITE \_\_\_\_\_ STREET ADDRESS \_\_\_\_\_

CITY \_\_\_\_\_ STATE \_\_\_\_\_ ZIP CODE \_\_\_\_\_ COUNTY \_\_\_\_\_

BUSINESS PHONE \_\_\_\_\_ BUSINESS FAX \_\_\_\_\_ NUMBER OF ATTORNEYS \_\_\_\_\_

E-MAIL ADDRESS \_\_\_\_\_ WEB ADDRESS(ES) \_\_\_\_\_

WHAT DATE WAS THE FIRM ESTABLISHED? \_\_\_\_ / \_\_\_\_ / \_\_\_\_ CURRENT RETROACTIVE DATE: \_\_\_\_ / \_\_\_\_ / \_\_\_\_  
MM DD YYYY MM DD YYYY

LIMITS REQUESTED: \_\_\_\_ / \_\_\_\_ DEDUCTIBLE REQUESTED: \_\_\_\_\_

EXPIRATION DATE OF THE FIRM'S CURRENT LAWYERS PROFESSIONAL LIABILITY POLICY: \_\_\_\_ / \_\_\_\_ / \_\_\_\_  
MM DD YYYY

**CURRENT INSURANCE:**

INSURANCE COMPANY	LIMITS (PER CLAIM/AGG)	DEDUCTIBLE (PER CLAIM/AGG)	PREMIUM (\$)

**Indication Questions**

A. HAVE THERE BEEN ANY GAPS IN CONTINUOUS CLAIMS-MADE COVERAGE FOR THE LAST 8 YEARS?  YES  NO

FROM \_\_\_\_ / \_\_\_\_ / \_\_\_\_ TO \_\_\_\_ / \_\_\_\_ / \_\_\_\_ REASON: \_\_\_\_\_  
MM DD YYYY MM DD YYYY

B. DOES THE FIRM'S CURRENT LEGAL PROFESSIONAL LIABILITY POLICY HAVE ANY CUSTOMIZED ENDORSEMENTS SPECIFIC TO THE FIRM OR LIMITATION OF PRIOR ACTS COVERAGE INCLUDING PRIOR ACTS EXCLUSIONS?  YES  NO

IF YES, PLEASE ATTACH.

C. DOES THE FIRM SHARE ANY OF THE FOLLOWING WITH OTHER ATTORNEYS OR FIRMS?

- OFFICE SPACE
- EXPENSES
- SUPPORT STAFF
- LETTERHEAD
- CASES
- FEES
- NONE

D. MOST RECENT ANNUAL GROSS REVENUES \$ \_\_\_\_\_ MAXIMUM SINGLE CASE VALUE IN PAST 24 MONTHS \$ \_\_\_\_\_

E. ATTORNEYS LEAVING/JOINING THE FIRM IN THE LAST 12 MONTHS: LEAVING \_\_\_\_\_ JOINING \_\_\_\_\_

F. IN THE PAST FIVE YEARS HAS THE FIRM OR ANY ATTORNEY FOR WHOM COVERAGE IS SOUGHT EVER BEEN INVOLVED, DIRECTLY OR INDIRECTLY, IN A CLAIM, POTENTIAL CLAIM, OR SUIT ARISING OUT OF THE RENDERING OR FAILING TO RENDER LEGAL SERVICES?  YES  NO

IF YES, HOW MANY? \_\_\_\_\_

G. DETAILS OF LARGEST CLAIM: DATE \_\_\_\_ / \_\_\_\_ / \_\_\_\_ CARRIER \_\_\_\_\_  
MM DD YYYY

VALUE \_\_\_\_\_ AOP \_\_\_\_\_

H. IS THE FIRM OR ANY ATTORNEY FOR WHOM COVERAGE IS SOUGHT AWARE OF ANY ACT, ERROR, OMISSION OR INCIDENT REASONABLY BE EXPECTED TO RESULT IN A CLAIM OR SUIT BEING MADE AGAINST THEM?  YES  NO

IF YES, HOW MANY? \_\_\_\_\_

I. DETAILS OF LARGEST CLAIM: DATE \_\_\_\_ / \_\_\_\_ / \_\_\_\_ CARRIER \_\_\_\_\_  
MM DD YYYY

VALUE \_\_\_\_\_ AOP \_\_\_\_\_

J. HAS THE FIRM OR ANY ATTORNEY FOR WHOM COVERAGE IS SOUGHT EVER BEEN DISBARRED, REFUSED ADMISSION TO PRACTICE LAW, SUSPENDED, REPRIMANDED, SANCTIONED, FINED, PLACED ON PROBATION, HELD IN CONTEMPT OR THE SUBJECT OF DISCIPLINARY ACTION OF ANY KIND BY A COURT, ADMINISTRATIVE OR REGULATORY BODY?  YES  NO

K. WHAT PERCENTAGE OF THE FIRM'S BILLINGS ARE OVER 90 DAYS OVERDUE? \_\_\_\_\_ %

L. HOW MANY SUITS HAS THE FIRM FILED AGAINST ITS OWN CLIENTS FOR THE COLLECTION OF FEES IN THE PAST 5 YEARS? \_\_\_\_\_

M. HAS THE FIRM OR ANY OF ITS PAST OR PRESENT ATTORNEYS SERVED AS AN OFFICER, DIRECTOR OR EMPLOYEE OF A FOR-PROFIT OR NON-PROFIT ENTERPRISE OTHER THAN THE FIRM, OR HAD ANY KIND OF DEBT, EQUITY OR OWNERSHIP INTEREST IN A CLIENT OF THE FIRM, OR ENGAGED IN ANY BUSINESS VENTURE WITH A CLIENT OF THE FIRM?  YES  NO

N. CHECK ALL THAT APPLY:

CLIENT SCREENING:  ENGAGEMENT LETTERS  FEE AGREEMENTS  NON-ENGAGEMENT LETTERS  DISENGAGEMENT LETTERS

CONFLICT AVOIDANCE:  INDEX  ORAL/MEMORY  COMPUTER  NO SYSTEM  WRITTEN PROCEDURES

CALENDARING/DOCKET CONTROL:  AT LEAST 2 INDEPENDENT SYSTEMS  A COMPUTERIZED SYSTEM  NONE OF THE ABOVE

A DESIGNATED DOCKET CONTROL OR CALENDARING PERSON RESPONSIBLE FOR THE FIRM'S DEADLINES

O. DOES ANY OF THE FIRM'S WORK INVOLVE REPRESENTATION OF PLAINTIFFS IN CLASS ACTION OR MASS TORT LITIGATION?  YES  NO

P. USING THE CHART BELOW, PLEASE IDENTIFY THE FIRM'S AREAS OF PRACTICE BASED ON THE FIRM'S GROSS BILLINGS IN THE MOST RECENT COMPLETE FISCAL YEAR.

AREA OF PRACTICE	LAST YEAR	THIS YEAR	CURRENT BREAKDOWN WITHIN PARTICULAR AREA OF LAW: (SHOULD TOTAL 100%)		
ADMIRALTY/MARITIME	%	%	% PLAINTIFF	% DEFENSE	% OTHER
ANTITRUST/TRADE REGULATION	%	%	% PLAINTIFF	% DEFENSE	% OTHER
APPELLATE	%	%			
BANKRUPTCY	%	%	% CREDITOR	% DEBTOR	COURT APPOINTED % TRUSTEE
BUSINESS & COMMERCIAL LITIGATION	%	%	% PLAINTIFF		% DEFENSE
BUSINESS FORMATION & ALTERATION	%	%	FORMATION/ % DISSOLUTION	MERGER & % ACQUISITIONS	% OTHER
BUSINESS TRANSACTIONS/ COMMERCIAL LAW	%	%	PUBLIC % CORPORATIONS	PRIVATE CORPORATIONS/ % INDIVIDUALS	% OTHER
CIVIL RIGHTS & DISCRIMINATION	%	%	% PLAINTIFF	% DEFENSE	% OTHER
COLLECTIONS	%	%	% CREDITOR	% DEBTOR	% OTHER
CONSTRUCTION LAW/ BUILDING CONTRACTS	%	%	% PLAINTIFF	% DEFENSE	% TRANSACTIONAL
CONSUMER CLAIMS (NOT CLASS ACTION)	%	%			
CRIMINAL LAW	%	%			
ELDER LAW (NOT TAX OR ETP)	%	%			
* ENTERTAINMENT LAW	%	%	% INCL. MONEY MANAGEMENT		% EXCL. MONEY MANAGEMENT
ENVIRONMENTAL LAW	%	%	% PLAINTIFF	% DEFENSE	% OTHER
ESTATES/ TRUST/ PROBATE	%	%	ESTATE % PLANNING	TRUST % ADMINISTRATION	% OTHER
FAMILY LAW	%	%	% DIVORCE	% ADOPTION	% OTHER
FEDERAL, STATE GOVERNMENT / LOBBYING	%	%	GENERAL OR % FINANCIAL ADVICE	% DEFENSE	% OTHER
* FINANCIAL INSTITUTIONS	%	%			
IMMIGRATION & NATURALIZATION	%	%			
* INTELLECTUAL PROPERTY	%	%	% PATENT	TRADEMARK % COPYRIGHT	% LITIGATION
INTERNATIONAL LAW	%	%			
LABOR/EMPLOYMENT	%	%	% MANAGEMENT	% UNION/LABOR	% OTHER
NATURAL RESOURCES/ OIL & GAS	%	%	% PLAINTIFF	% DEFENSE	% OTHER
* PERSONAL INJURY/ PROPERTY DAMAGE	%	%	* CLASS ACTION/ % MASS TORT PLAINTIFF		CLASS ACTION/ % MASS TORT DEFENSE
			* MEDICAL % MALPRACTICE PLAINTIFF		MEDICAL % MALPRACTICE DEFENSE
			* % OTHER PI/BI PLAINTIFF		% OTHER PI/BI DEFENSE
* REAL ESTATE	%	%	% COMMERCIAL		% RESIDENTIAL
* SECURITIES/ CORPORATE BONDS	%	%	% CORPORATE BONDS		% OTHER
TAXATION/TAX OPINIONS/TAX SHELTERS	%	%	% TAX SHELTERS	% CORPORATE	% OTHER
WORKERS' COMPENSATION	%	%	% EMPLOYER		% EMPLOYEE
OTHER	%	%	PLEASE DESCRIBE:		
	=100%	=100%			

THIS PREMIUM INDICATION REQUEST DOES NOT CONSTITUTE AN APPLICATION FOR INSURANCE COVERAGE AND INDICATED PREMIUMS OR TERMS RESULTING FROM THIS REQUEST ARE NOT OFFERS OF COVERAGE. IF PROVIDED, ANY INDICATED PREMIUM OR TERMS MAY WIDELY VARY FROM ANY SUBSEQUENT INDICATED OR QUOTED PREMIUM OR TERMS.